

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No.: _____

Applicant: Janani Janakiraman

Filing Date: _____

Group: _____

Atty. Docket No. AUS920030585US1

Reference Designation		<u>U. S. PATENT DOCUMENTS</u>				Filing Date if
Examiner	Document					
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>
<u>HV</u>	AA 6,256,033	07/03/2001	Nguyen	345	863	
<u>HV</u>	AB 6,128,003	10/03/2000	Smith	345	157	
<u>HV</u>	AC 6,088,040	07/11/2000	Oda	345	473	
<u>HV</u>	AD 5,995,924	11/30/1999	Terry	704	207	
<u>HV</u>	AE 5,744,591	06/30/1998	Black	382	236	
<u>HV</u>	AF 5,252,951	10/12/1993	Tannenbaum	345	156	

		<u>FOREIGN PATENT DOCUMENTS</u>				Translation	
Examiner	Document					Yes	No
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>		
<u>AG</u>							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>		
<u>HV</u>	AH "Beyond Voice Recognition: Facial Expression Decoding", Technical Insights Alert, 12-31-1999, 3 pages	
<u>HV</u>	AI "Expression Glasses: A Wearable Device for Facial Expression Recognition", J. Scheirer, et al., MIT Media Laboratory, 05-15-1999, 2 pages	
<u>HV</u>	AJ "Facial Expression Analysis", J. Cohn, et al., 09-12-1997, 3 pages.	
<u>HV</u>	AK "Product Brief: FaceIt[TM] Software Developer Kits", Identix Corporation, Minnetonka, MN, 2 pages.	
<u>HV</u>	AL "Facial Expression Recognition using Neural Networks" by Ben Wong, downloaded from http://www.cs.cornell.edu/boom/2001sp/Wong,%20B/Untitled-3.htm on Aug. 15, 2003, 8 pages.	
<u>HV</u>	AM "Learning Bayesian Network Classifiers for Facial Expression Recognition using Both Labeled and Unlabeled Data", by Ira Cohen, et al., 7 pages.	
<u>HV</u>	AN "Spectral Emphasis as an Additional Source of Information in Accent Detection", by Mattias Heldner, 4 pages.	
<u>HV</u>	AO "Abstract: Robust Facial Expression Recognition Using a State-based Model of Spatially-Localised Dynamics" by F. Bourel, et al., 1 page.	

Examiner: /Henry Vuu/

Date Considered: 01/06/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Express Mail Label Number:
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In Place of FORM PTO-1449 (Modified)

Serial No. 10/671,081

SUPPLEMENTAL

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Applicant: Janani Janakiraman
Filing Date: 09/25/2003
Group: 2671
Atty. Docket No. AUS920030585US1

Reference Designation		U. S. PATENT DOCUMENTS				Filing Date if
Examiner	Document					
Initial	Number	Date	Name	Class	Subclass	Appropriate
<u>HV</u>	<u>BA</u>	<u>6,590,604</u>	<u>07/08/2003</u>	<u>Tucker, et al</u>	<u>348</u>	<u>14.13</u>

		FOREIGN PATENT DOCUMENTS				Translation	
Examiner	Document					Yes	No
Initial	Number	Date	Country	Class	Subclass		
<u>HV</u>	<u>BB</u>	<u>WO 02/39371 A2</u>	<u>5/16/2002</u>	<u>Netherland</u>	<u>G06K</u>	<u>9/00</u>	
<u>HV</u>	<u>BC</u>	<u>WO 02/099784 A1</u>	<u>12/12/2002</u>	<u>Netherland</u>	<u>G10L</u>	<u>13/02</u>	
<u>HV</u>	<u>BD</u>	<u>WO 02/42909 A1</u>	<u>05/30/2002</u>	<u>Netherland</u>	<u>G06F</u>	<u>9/44</u>	

		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
Examiner	Document				
Initial					
<u>HV</u>	<u>BE</u>	<u>"Interpretations" DataFace, Psychology, Appearance and Behavior of the Human Face</u>			
<u>HV</u>	<u>BF</u>	<u>"Comprehensive Database for Facial Expression Analysis," Takeo Kanade, J Jeffrey F. Cohn, and Yingli Tian</u>			
<u>HV</u>	<u>BG</u>	<u>"Bimodal Expression of Emotion by Face and Voice", by Jeffrey F. Cohn and Gary S. Katz.</u>			
<u>HV</u>	<u>BH</u>	<u>"Recognizing Action Units for Facial Expression Analysis", by Yingli Tian, Intelligence, Vol. 23, No. 2, February 2001.</u>			

Examiner: /Henry Vuu/

Date Considered: 01/05/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 1 of 1

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